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### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Manfred GRIESINGER et al.

Confirmation No.: 1113

Appln. No.: 10/539,943

Art Unit: 2875

Filed: June 17, 2005

Examiner: HUSAR, STEPHEN F

For:

MULTIFUNCTIONAL ILLUMINATION DEVICE

Attorney Docket No.: 3926.166

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 on August 17, 2006.

2 hon , Reg. No. 56,150

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of any non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) issued in the corresponding PCT application are provided herewith.

- 1. WO 00/15462 A1 (in English)
- 2. EP 1 113 216 A2 (has English-language equivalent application US 6,672,745)
- 3. DE 298 06 638 U1 (English abstract provided)
- 4. WO 99/42856 A2 (in English)

{WP327624;1}

Application No: 10/539,943 Information Disclosure Statement

Attorney Docket No: 3926.166

5. US 5,463,384

6. WO 98/54030 A1 (in English)

7. EP 0 764 916 A1 (in English)

8. WO 01/98708 A1 (in English)

9. DE 32 44 358 A1 (English abstract provided)

DE 100 62 103 A1 (English abstract provided) 10.

Documents 1-10 were cited in the ISR.

Since the present Information Disclosure Statement is being filed before the mailing date of the first Office Action, no statement under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Date: August 17, 2006

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Respectfully submitted,

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/539,943			
Filing Date	06/17/2005			
First Named Inventor	Manfred Griesinger			
Art Unit	2875			
Examiner Name	HUSAR, STEPHEN F			
Attorney Docket Number	3926 166			

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> (# known)	_	<u> </u>	gu	
		<sup>US-</sup> 5,463,384	10-31-1995	Juds		
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FOREIGN PATENT DOCUMENTS							
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	.,,	Or Relevant Figures Appear	T	
		WO 00/15462 A1	03-23-2000	Gentex Corp.			
		EP 1 113 216 A2	07-04-2001	Hella KG Hueck & Co.			
		DE 298 06 638 U1	07-18-1998	Leopold Kostal GmbH & Co KG			
		WO 99/42856 A2	08-26-1999	AMERIGON, INC.			
		WO 98/54030 A1	12-03-1998	RÄFSHULT			
		EP 0 764 916 A1	03-26-1997	WELCH ALLYN, INC.			

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Transation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Initials*		Cite Document Number Publication Date MM-DD-YYYY  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
		WO 01/98708 A1	12-27-2001	LIGHT SCIENCES CORPORATION		
		DE 32 44 358 A1	06-14-1984	Daimler-Benz AG; Siemens AG		
		DE 100 62 103 A1	07-18-2002	Hella KG Hueck & Co.		L
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Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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